



Investment Management and Consulting

Confidential Questionnaire and Risk Survey

Name(s): _____

Number: _____ E-mail: _____

I have been investing for _____ years.

I expect over the long term the stock market will return: 7% 8% 9% 10% 11% 12%

How frequently do you review your investment account? _____

Questions: For each of the following statements, please assign a rank between 1 and 5 (5 being strongly agree).

_____ I want to retire at or above my current standard of living.

_____ I feel that I am on my way to a secure retirement.

_____ If a stock declines in price, I consider it a poor selection.

_____ I believe that the United States economy is fundamentally sound.

_____ I want to achieve higher long-term return in the value of my account, and I can accept significant short-term swings in values.

_____ I feel comfortable making my own investment decisions.

_____ I don't want to take risk with my investments.

_____ I second guess my investment decisions when they've done poorly.

_____ I am interested in stable growth in the value of my account, even if that means somewhat lower returns over time.

_____ I understand the concept of volatility in the stock market.

_____ I need my investment account to provide current income.

_____ I feel comfortable with fluctuations in my account's value.

_____ Over the long term the U.S. stock market does well.

_____ I am comfortable delegating the decisions in my investment account to professionals.

_____ I get very anxious about short-term movements (up or down) in my account balance.

_____ If a stock I've chosen is lower than its purchase price one year later, I want to sell it.

_____ I do not mind paying capital gains taxes in exchange for account return.